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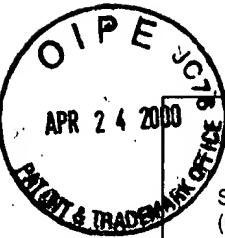
PTO/SB/29 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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APR 24 2000



## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:  
[  ] DUPLICATE

**ADDRESS TO:** Assistant Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

**RECEIVED**

Attorney Docket No. 42390.P4024  
First Named Inventor Vishram P. Dalvi  
Express Mail Label No. EL143561836US

APR 27 2000

Total Pages 2 Group 2700

This is a request for a X continuation or        divisional under 37 CFR 1.53(d)  
(continuation prosecution application (CPA)) of prior application No: 08/814,928,  
filed on 2/27/97,  
entitled STATUS INDICATORS FOR FLASH MEMORY.

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371 and filed on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1.        Enter the unentered amendment previously filed on        under 37 CFR 1.116 in the prior nonprovisional application.
2. X A preliminary amendment is enclosed.
3.        This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d).  
 a. **DELETE** the following inventor(s) named in the prior nonprovisional application:
4.        The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
5. X A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. X Information Disclosure Statement (IDS) is enclosed:
  - a. X PTO - 1449
  - b. X Copies of IDS Citations

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PTO/SB/29 (12/97)

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**CLAIMS**

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
Total Claims (37 CFR 1.16(c))	7 - 20 =	0	X \$ 18	\$ _____
Independent Claims (37 CFR 1.16(b))	1 - 3 =	0	X \$ 78	\$ _____
Multiple Dependent Claims (if applicable) (37 CFR 1.16(d))			+ \$ 260	\$ _____
			BASIC FEE (37 CFR 1.16(a))	\$ 690.00
			Total of above Calculations	= \$ 0
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ _____
			TOTAL =	\$ 690.00

6.  Small entity status:

- a.  A small entity statement is enclosed.
- b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c.  Is no longer claimed.

7.  The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-2666.

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

8.  A check in the amount of \$ 690.00 is enclosed.

9.  Other: Certificate of Express Mail with copy of postcard showing contents of  
Express Mail package.

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**10. NEW CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label  
OR  (Insert Customer No. or Attach Bar Code Label here)

New Correspondence Address Below

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

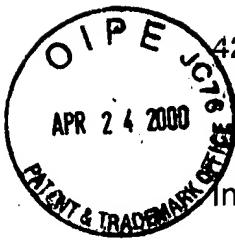
CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_  
COUNTRY \_\_\_\_\_ TELEPHONE \_\_\_\_\_ FAX \_\_\_\_\_

**11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME Sang Hui Michael Kim

REGISTRATION NO. 40,450

SIGNATURE Sang Hui Kim  
DATE 9/27/00



42390.P4024

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#19/2  
RAB  
4/28/00  
4/28/00

In re Application of:

Vishram P. Dalvi et al.

Serial No.: 08/814,928

Filed: February 27, 1997

For: STATUS INDICATORS FOR  
FLASH MEMORY

Examiner: Robertson, D.

Art Unit: 2752

Assistant Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

In connection with the filed Rule 53(d) Continued Prosecution Application, applicants respectfully request the Examiner to enter the following Preliminary Amendment and consider the following remarks. The Preliminary Amendment is responsive to the Office Action mailed July 23, 1999 in the parent case.

"Express Mail" mailing label number: EL143561836US  
Date of Deposit: 4/24/00

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Shantha Dalvi  
(Typed or printed name of person mailing paper or fee)

Shantha Dalvi  
(Signature of person mailing paper or fee)

4/24/00  
(Date signed)